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Security Council Committee established pursuant to resolution 1718 (2006)

Sanctions List Materials

Narrative Summaries

Informal Compilation of Original Script (Korean) of Designated Entities and Individuals

Procedures for Listing

Procedures for Delisting

- · Sanction measures
- · Work and mandate of the Committee
- Background information
- Further information on measures
- · Summary of listing criteria

The Security Council Committee pursuant to <u>resolution 1718 (2006)</u> (hereafter "the Committee") oversees the sanctions measures imposed by the Security Council.

Sanction measures

Exemptions

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to the

Exemptions

1718 Designated Vessels List	Measure	Description	measure
Resolutions	Arms and	All Member States are	Set out in
	related	required to prevent the direct	paragraph 8 (a)
Committee Cuidelines	materiel	or indirect supply, sale, or	and (b) of
Committee Guidelines	embargo	transfer to the DPRK, through	resolution 2270
		their territories or by their	(2016).
Exemptions to the Measures		nationals, or using their flag	
		vessels or aircraft, and	
Arms Embargo		whether or not originating in	
3 -		their territories, of all arms and	
T1 D		related materiel, including	
Travel Ban		small arms and light weapons	
		and their related materiel, a	
Assets Freeze		ban on related financial	
		transactions, technical training	
Correspondent Account		including hosting of trainers,	
Approvals		advisors, or other officials for	
, the state		the number of military	

Selected Documents

Implementation Reports

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advisors, or other officials for **Approvals** the purpose of military-, paramilitary-, or police related Refined petroleum products training, services or assistance related to **Humanitarian Exemption** manufacture, maintenance or Requests use, and with respect to the shipment of items to or from the DPRK for repair, servicing, **Press Releases** refurbishing, testing, reverseengineering and marketing. **Panel of Experts** All Member States are required to apply a "catch-all" Work and mandate clause on the supply, sale or transfer of any item if it Reports determines that such an item could directly contribute to the

> development of the DPRK's operational capabilities of its armed forces, or to exports

that support/enhance the



Non-

proliferation

capabilities of armed forces of another Member State outside

the DPRK. All Member States are

required to prevent the direct or indirect supply, sale or

transfer to the DPRK, through

their territories or by their nationals, or using their flag

whether or not originating in

vessels or aircraft, and

their territories, of items relevant to nuclear, ballistic missiles and other weapons of

mass destruction-related

programmes (lists of the items prohibited can be found here).

All Member States are further

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None

None

Procurement of DPRK coal by **Member States** Supply, sale or transfer of all refined petroleum products to the **DPRK**



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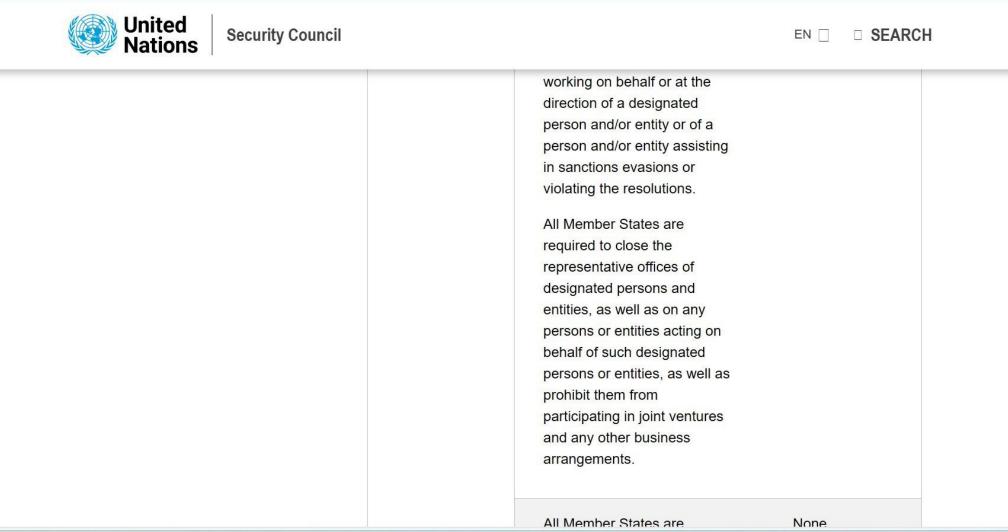
All Member States are further None

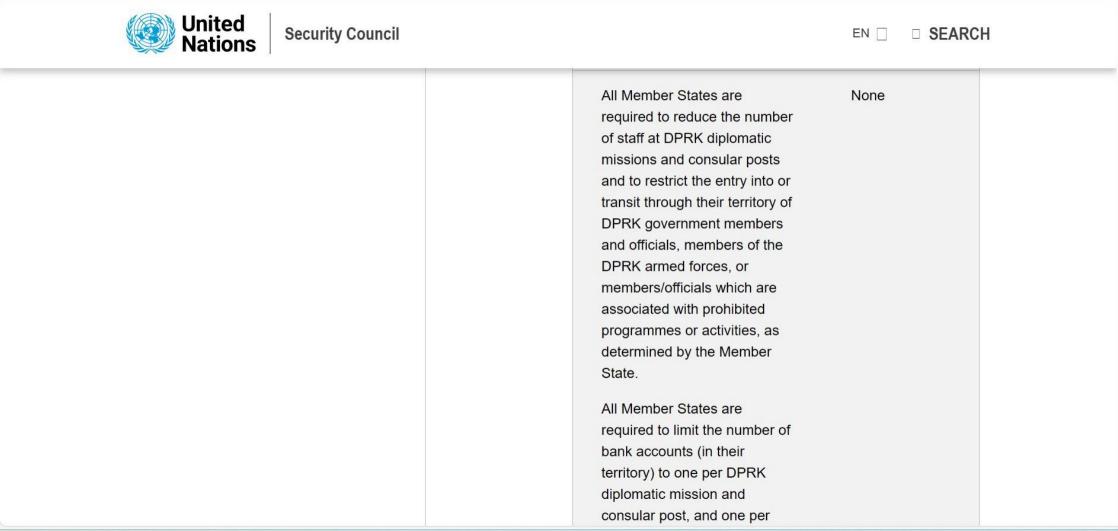
binding dual-use "catch-all" provision to apply the above measures on any item if the State determines that it could contribute to the DPRK's nuclear or ballistic missile programmes, other weapons of mass destruction programmes or other activities prohibited by the resolutions. Set out in Proliferation All Member States are networks required to expel DPRK paragraph 13 diplomats, government and paragraph representatives, other DPRK 14 of resolution nationals acting in a 2270 (2016).

required to implement a

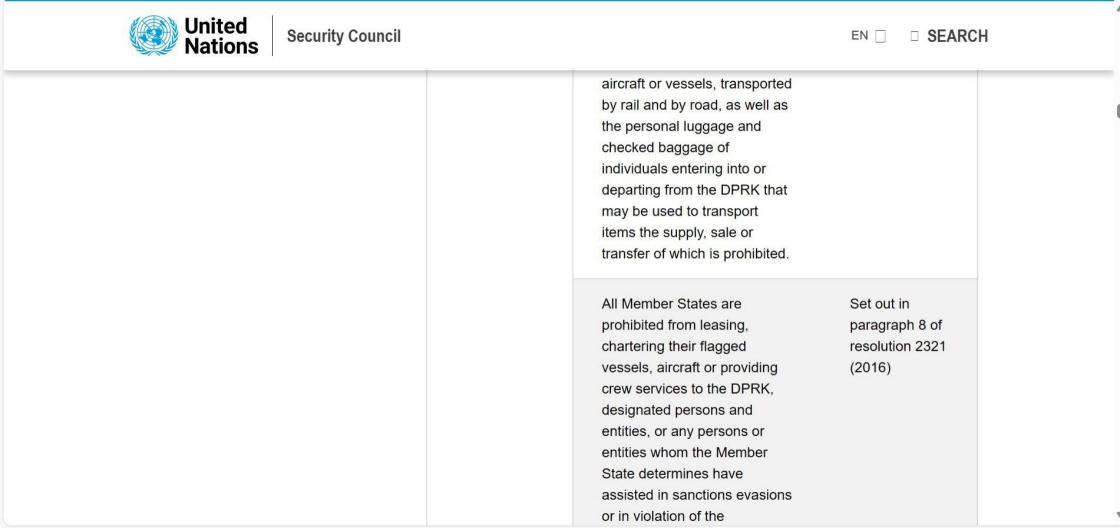
governmental or

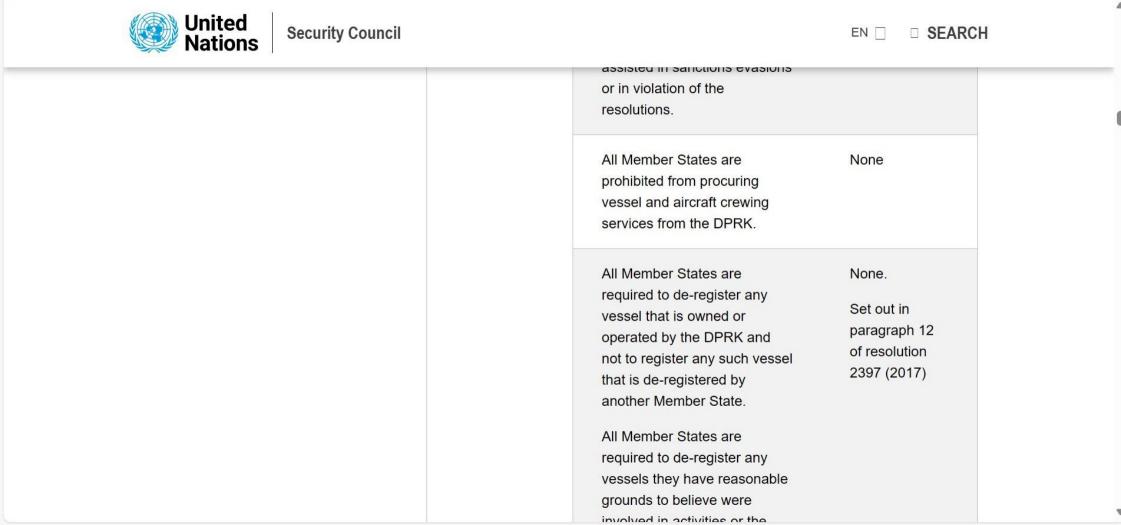
representative office capacity, and foreign nationals that are





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		consular post, and one per accredited DPRK diplomat and consular officer. All Member States are required to prohibit the DPRK from using real property (owned or leased) in their territory for non-diplomatic or consular activities' purposes.	
	Interdiction and transportation	All Member States are required to inspect cargo destined to or originating from the DPRK or brokered by the DPRK that is within or transiting their territories. This includes items that are being transported on DPRK flagged aircraft or vessels, transported	None



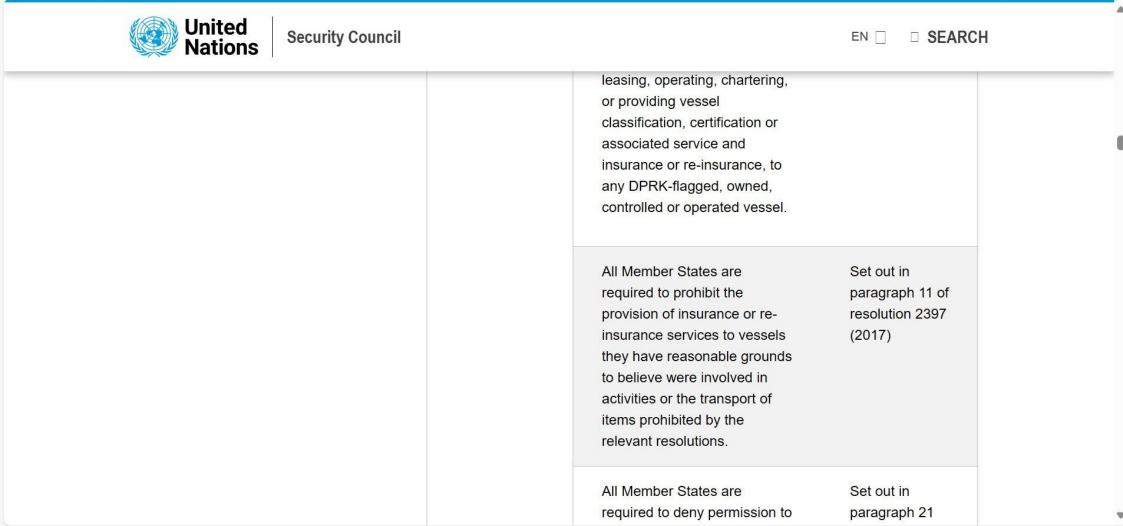




involved in activities or the transport of items prohibited by the relevant resolutions. Member States should not register any such vessel that has been de-registered by another Member State.	
All Member States are	Set out in
required to prohibit their	paragraph 9 of
nationals, entities and persons	resolution 2321
within their territory from	(2016)
registering vessels in the	
DPRK, to obtain authorization	Set out in
for a vessel to use the DPRK	paragraph 22
flag or to charter vessels	of resolution
flagged by the DPRK.	2321 (2016).
hagged by the DETAIN.	

grounds to believe were

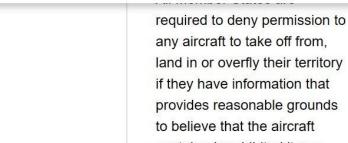
All Member States are prohibited from owning,

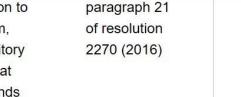




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provides reasonable grounds to believe that the aircraft contained prohibited items. Set out in All Member States are required to deny port entry if paragraph 22 they have information that of resolution provides reasonable grounds 2270 (2016) that the vessel is owned, controlled, directly or indirectly, by a designated individual and/or entity. The Committee, if it has Set out in information that provides paragraph 12 reasonable grounds to believe of resolution

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	reasonable grounds to believe that the vessel(s) are or have been related to prohibited programmes or activities, and pursuant to the vessels' designation, will require any or all of the following actions: deflagging of the vessel(s) by the Flag State; directing the vessel(s) to a port identified by the Committee (in coordination with the port State) by the Flag State; the prohibition of the vessel(s) entering into ports by Member States; and for the vessel(s) to be subject to assets freeze.	of resolution 2321 (2016)
	The Committee may designate vessels for which it has information indicating they	Set out in paragraph 6 of resolution 2375

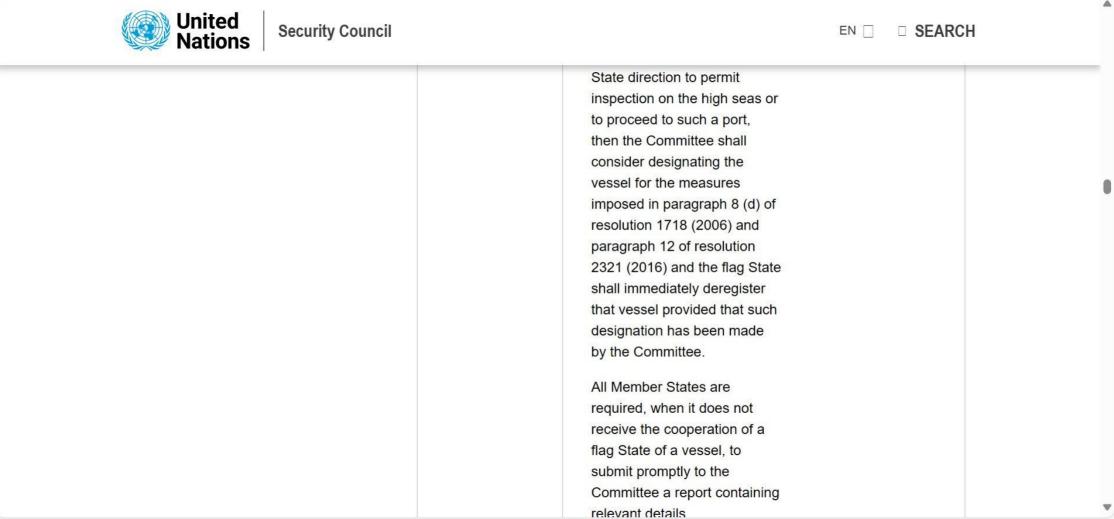
United Nations Security Council		EN 🗆 🗆 SEARCH
	has information indicating they are, or have been, related to activities prohibited by the relevant resolutions. All Member States are required to prohibit the entry into their ports of such designated vessels.	resolution 2375 (2017)
	Member States are called upon to inspect vessels with the consent of the flag State, on the high seas, if they have information that provides reasonable grounds to believe that the cargo of such vessels contains items the supply, sale, transfer or export of which is prohibited by the	Set out in paragraph 12 of resolution 2375 (2017)



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which is prohibited by the	
relevant resolutions.	
All States are called upon to	
cooperate with inspections	
and, if the flag State does not	
consent to inspection on the	
high seas, decides that the	
flag State shall direct the	
vessel to proceed to an	
appropriate and convenient	
port for the required	
inspection by the local	
authorities, and decides	
further that, if a flag State	
neither consents to inspection	
on the high seas nor directs	
the vessel to proceed to an	
appropriate and convenient	
port for the required	
inspection, or if the vessel	
refuses to comply with flag	





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All Member States are Set out in

required to seize, inspect, and

or subject to their jurisdiction, and vessels flying their flag, from facilitating or engaging in EN \square

paragraph 9 of

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resolution 2397 freeze (impound) any vessel in their ports, and may do so (2017)with any vessel subject to their jurisdiction in their territorial waters if they have reasonable grounds to believe that the vessel was involved in activities, or the transport of items, prohibited by the relevant resolutions. All Member States are None required to prohibit their nationals, persons subject to their jurisdiction, entities incorporated in their territory



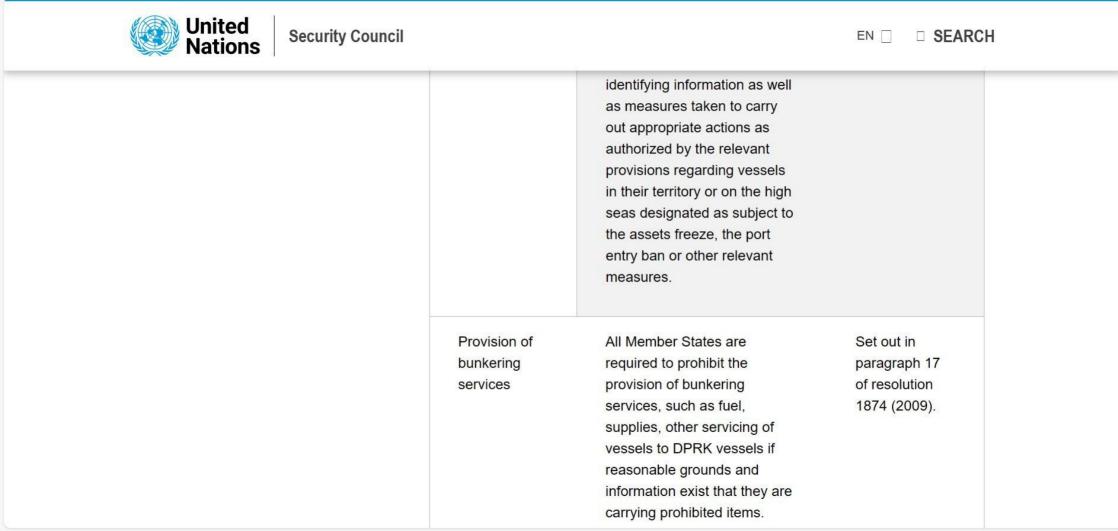
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being supplied, sold, or transferred to or from the DPRK. All Member States should None improve mutual informationsharing on suspected attempts by the DPRK to supply, sell, transfer or procure illicit cargo, with support and facilitation by the 1718 Committee and the Panel of Experts. All Member States are required to notify the Committee of relevant identifying information as well

ship-to-ship transfers to or from DPRK-flagged vessels of any goods or items that are





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Assets freeze	All Member States are	Set out in	
	required to freeze the assets,	paragraph 9	
	funds, and economic	(a), (b) and (c)	
	resources of the entities of the	of resolution	
	Government of the DPRK and	1718 (2006)	
	Korean Workers' Party, that	and paragraph	
	the State determines are	32 of resolution	
	associated with the prohibited	2270 (2016)	
	activities, including designated	and paragraph	
	persons and entities, as well	26 of resolution	
	as any persons or entities	2371 (2017).	
	acting on behalf of or at their	The state of the s	
	direction, or those owned or		
	controlled by them. These		
	assets include tangible,		
	intangible, movable,		
	immovable, actual or potential,		
	which may be used to obtain		
	funds, goods or services, such		
	as vessels, including maritime		
	vaccale		



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	as vessels, including maritime	
	vessels.	
	Designated vessels are	
	subject to assets freeze by	
	Member States.	
Disposal of	All Member States are	None
seized items	required to seize and dispose	
	(such as through destruction,	
	rendering inoperable or	
	unusable, storage or	
	transferring to a State other	
	than originating or destination	
	States for disposal) of	
	prohibited items by the	
	relevant resolutions in a	
	manner consistent with their	
	international obligations.	



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Travel ban	All Member States are	Set out in	
	required to prevent the entry	paragraph 10	
	into or transit through their	of resolution	
	territories of designated	1718 (2006)	
	individuals; individuals acting	and paragraph	
	on behalf of or at the direction	10 of resolution	
	of designated individuals; any	2094 (2013)	
	individual whom a State		
	determines is assisting in the		
	evasion of sanctions, violating		
	the provisions of the		
	resolutions, working on		
	behalf/at the direction of		
	designated individuals; and		
	individuals traveling for the		
	purposes of carrying out		
	activities related to the		
	shipment of items for repair,		
	servicing, refurbishing, testing,		
	reverse-engineering, and		
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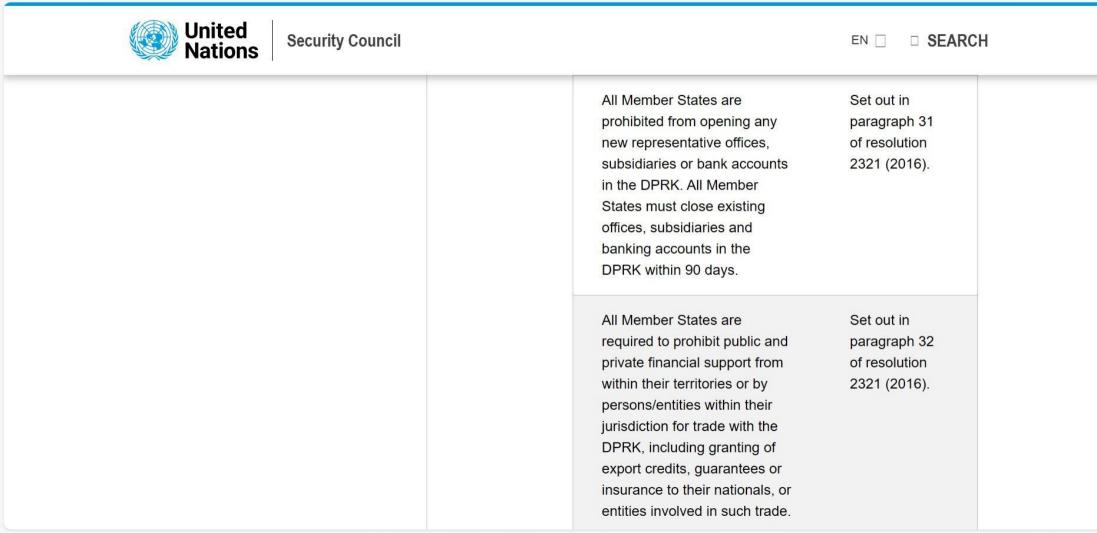
reverse-engineering, and marketing.

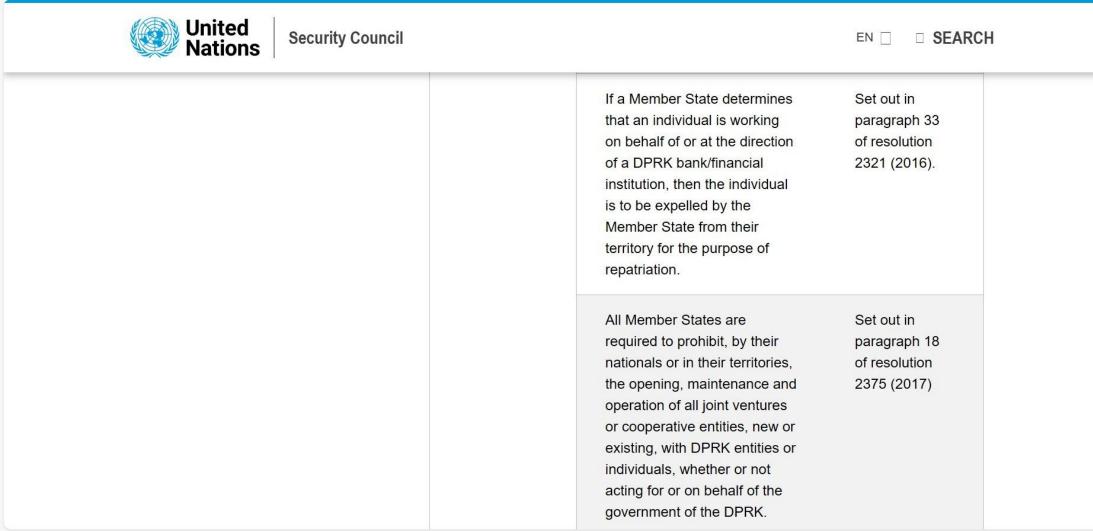
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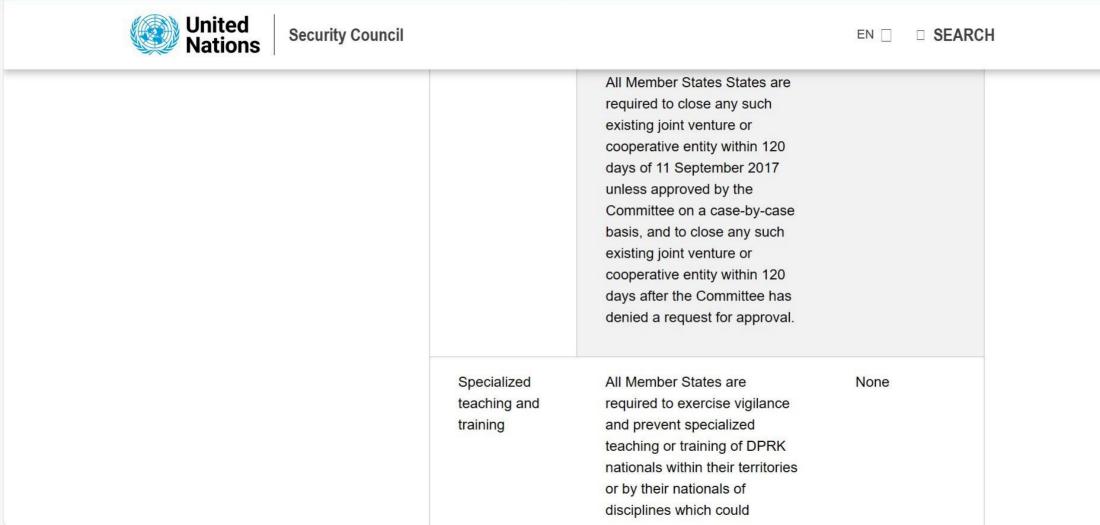
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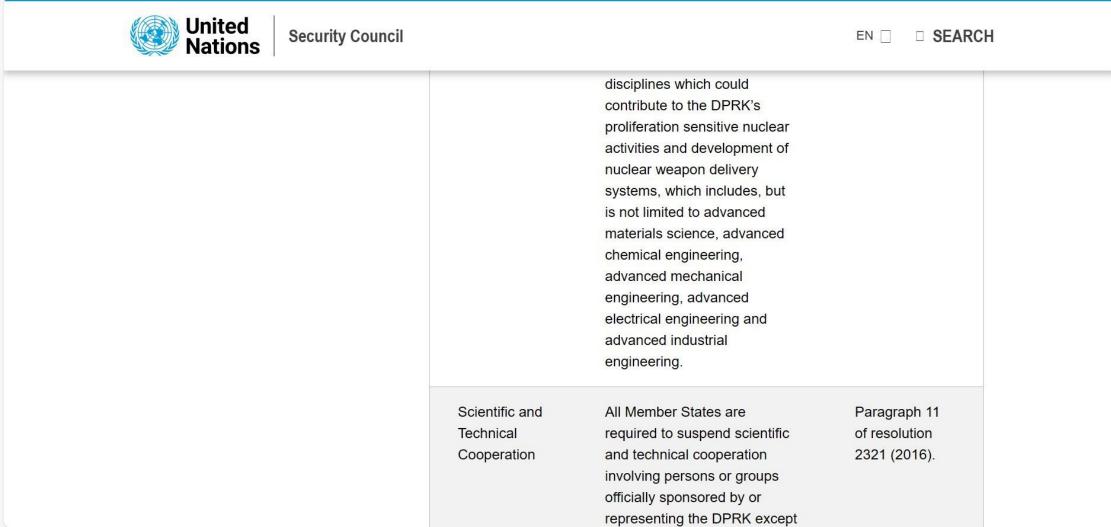
Financial	All Member States are	Set out in
measures	required to prevent the	paragraph 19
	provision of financial services,	of resolution
	including bulk cash and gold,	1874 (2009)
	the opening of banking	and paragraph
	subsidiaries, the provision of	33 of resolution
	public financial support, new	2270 (2016).
	commitments for grants, and	
	financial assistance or	
	concessional loans that could	
	contribute to the DPRK's	
	prohibited	
	programmes/activities, or to	
	the evasion of sanctions.	
	Companies performing	
	financial services	
	commensurate with those	
	provided by bonks are	

United Nations Security Council		EN 🗆 SEARCH	
	commensurate with those provided by banks are considered financial institutions for the purposes of implementing relevant provision of the resolutions.		
	All Member States are prohibited from opening any new branches, subsidiaries and representative offices of DPRK banks; must close existing branches, subsidiaries and representative offices; and terminate any joint ventures, ownership interests or correspondent banking relationships with DPRK banks in their territory.	Set out in paragraph 33 of resolution 2270 (2016).	









United Nations Security Council			EN 🗆 🗆 SEARCH
		for medical exchanges; determined on a case-by-case basis by the Committee (fields of nuclear science and technology, aerospace and aeuronaturical engineering and technology, advanced manufacturing production techniques and methods); and determined by the engaging State and notified to the Committee in advance of such determination (all other scientific or technical cooperation).	
	Coal, Minerals and Sectoral bans	The DPRK is prohibited from supplying, selling, transferring, of coal, iron and iron ore, gold, titanium ore, vanadium ore, copper, nickel, silver, zinc and	Set out in paragraph 8 of resolution 2371 (2017) – only applicable to

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products, machinery, electrical
equipment, earth and stone
including magnesite and
magnesia, wood and vessels.
All Member States are
prohibited from procuring such
material from the DPRK. by
their nationals, or by using
their flag vessels or aircraft,
whether or not originating in
the territory of the DPRK.
All Member States are
VII MEHINEL STATES ALE

required to prohibit the supply,

sale or transfer to the DPRK

of all industrial machinery,

transportation vahicles iron

copper, nickel, silver, zinc and

rare earth minerals, lead and

lead ore, food and agricultural

applicable to the coal and paragraph 6 of resolution 2397

(2017).

(2017)

Set out in paragraph 7 of resolution 2397

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DPRK

Ban on all

petroleum

products

refined

	of all industrial machinery, transportation vehicles, iron, steel and other metals.	(2017)
Ban on exports of	All Member States are required to prohibit the supply,	None
condensates and natural	sale or transfer to the DPRK, through their territories or by	
gas han to the	their nationals, or using their	

flag vessels or aircraft, and

required to prohibit the supply,

sale or transfer to the DPRK,

through their territories or by

their nationals, or using their

whether or not originating in their territories, of all condensates and natural gas liquids.

All Member States are Set out in

paragraph 5 of resolution 2397

(2017)

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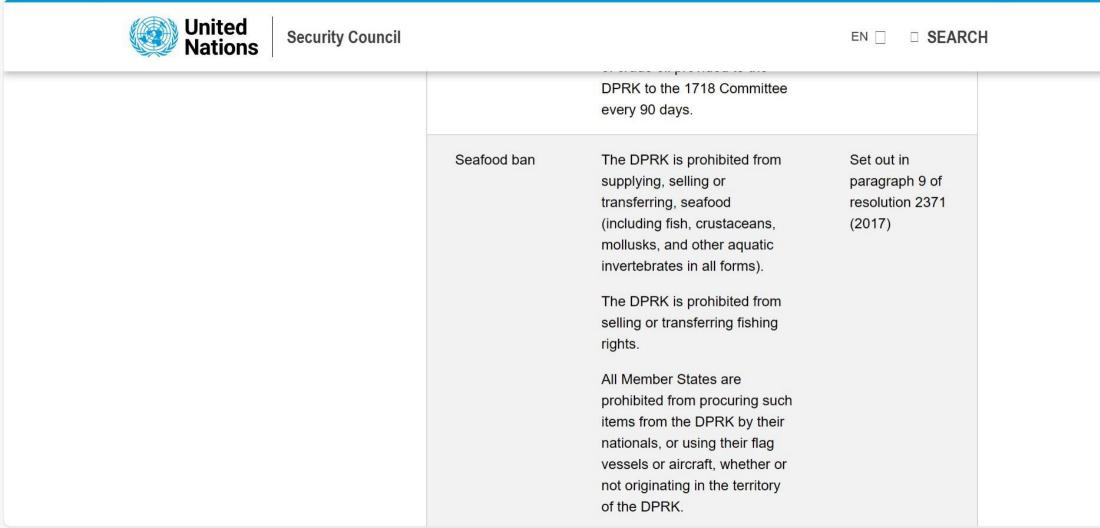
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their nationals, or using their □ SEARCH

their territories, of all refined petroleum products in excess of the aggregate amount of 500,000 barrels during periods of 12 months beginning on 1 January 2018. Restriction on All Member States are Set out in the supply, prohibited from supplying, paragraph 4 of sell, or selling, or transferring crude resolution 2397 transfer of oil that exceeds the aggregate (2017)amounts of 4 million barrels or crude oil 525,000 tons per 12-month periods from 22 December 2017. Member States are required to report the amount of crude oil provided to the DPRK to the 1718 Committee

flag vessels or aircraft, and whether or not originating in



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Ban on export of textiles from the **DPRK**

Ban on DPRK

workers

abroad

sell or transfer, textiles (including but not limited to fabrics and partially or completed apparel products).

All Member States are required to prohibit the procurement of such items from the DPRK by their

nationals, or using their flag vessels or aircraft, whether or not originating in the territory

authorizations for DPRK

their territories.

nationals in their jurisdiction in

connection with admission to

The DPRK shall not supply,

of the DPRK. All Member States are

prohibited from providing work

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Set out in

paragraph 16

of resolution

2375 (2017)

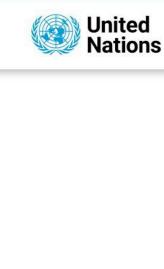
Set out in

paragraph 17

of resolution

2375 (2017)

Set out in



Security Council

All Member States are required to repatriate to th

Fuel ban



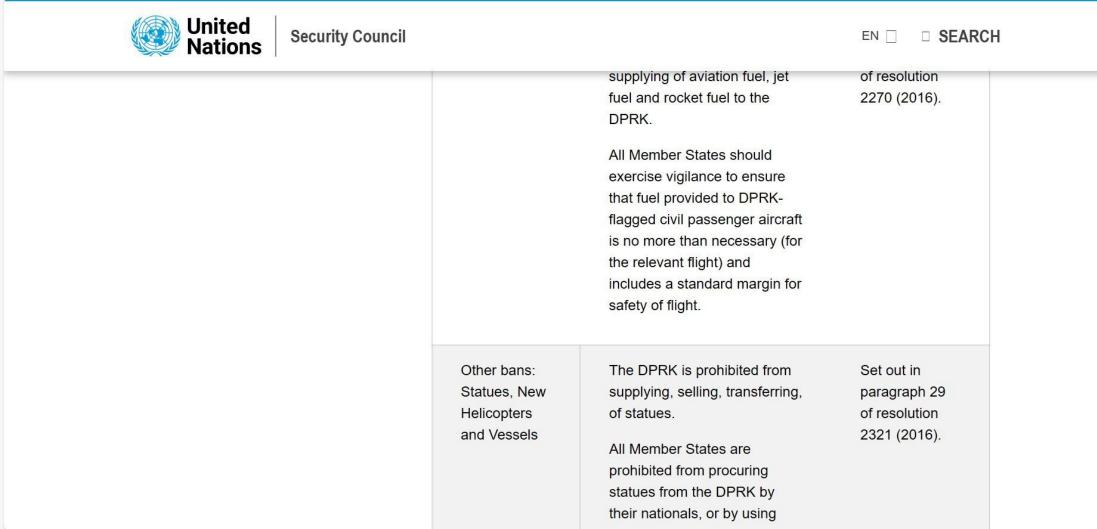
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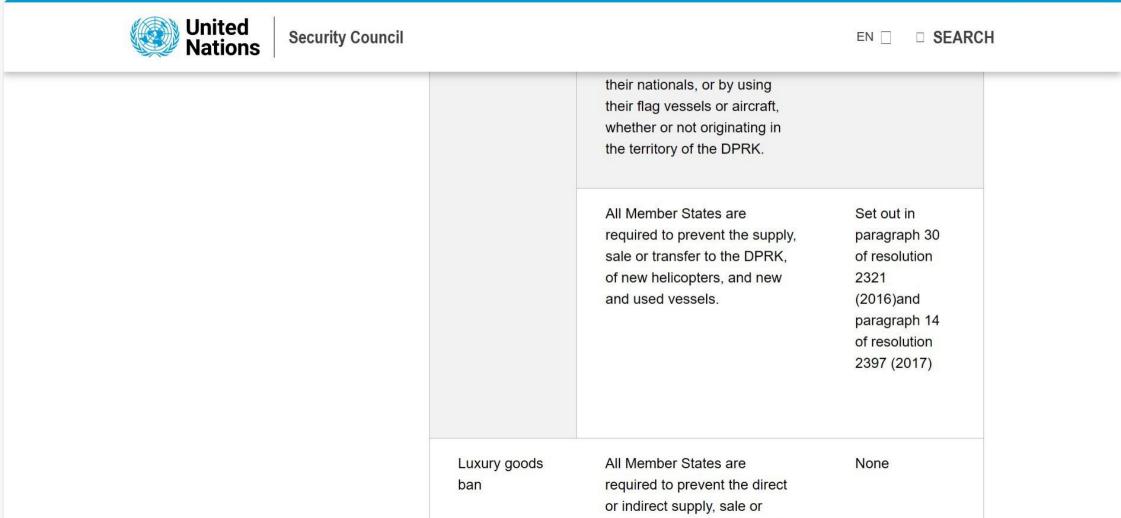
paragraph 31

□ SEARCH

earning income in their jurisdiction and all DPRK government safety oversight attachés within 24 months from 22 December 2017. Member States are required to submit a midterm report after 15 months from 22 December 2017 and a final report after 27 months from 22 December 2017 to the Committee of all DPRK nationals that were repatriated based on this provision. All Member States are Set out in

prohibited from selling or







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their territories or by their nationals, or using their flag vessels or aircraft, and whether or not originating in their territories, of luxury goods (including those items listed in Annex IV of resolution 2094 (2013), Annex IV of resolution 2270 (2016) and Annex IV of resolution 2321 (2016).

Work and mandate of the Committee

The Committee comprises all 15 members of the Security Council and makes its decisions by consensus. The current Chair of the Committee, for the period ending 31 December 2024, is Her Excellency Mrs. Pascale Christine Baeriswyl (Switzerland). The Vice-Chairs for 2024 are Japan and the Republic of Korea. The Committee prepares annual reports of its activities. The Committee has Guidelines for the conduct of its work. Formal and informal meetings of the Committee are announced in the Journal of the United Nations. The work of the Committee is supported by the Panel of Experts



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work. Formal and informal meetings of the Committee are announced in the <u>Journal of the United Nations</u>. The work of the Committee is supported by the <u>Panel of Experts</u> established pursuant to <u>resolution 1874 (2009)</u>.

The Committee is mandated to:

- take appropriate action on information regarding alleged violations of sanctions measures
- seek from all States information regarding the actions taken by them to implement effectively the sanctions measures and whatever further information it may consider useful
- consider and decide upon notifications and requests for exemptions from the sanctions measures
- designate individuals and entities who meet the listing criteria as contained in the relevant resolutions
- examine the Member States' reports submitted pursuant to relevant resolutions
- examine the reports presented by the Panel of Experts
- report every 90 days to the Security Council
- conduct outreach activities

Background information

The Committee was established pursuant to resolution 1718 (2006) ☐ on 14 October 2006 to oversee the relevant sanctions measures relating to the Democratic People's Republic of Korea (DPRK). Additional functions were entrusted to the Committee in resolutions 1874 (2009), 2087 (2013), 2094 (2013), 2270 (2016), 2321 (2016), 2371 (2017), 2375 (2017) and 2397 (2017).

Further information on measures

Targeted Sanctions

Under resolution 1718 (2006) ♠, persons or entities engaging in or providing support for the DPRK's prohibited programmes or by persons or entities acting on their behalf or at their direction could be designated, including their family members of such persons, for the travel ban. This was expanded under resolution 2087 (2013) ♠ including the criteria of individuals and entities which have assisted the evasion of sanctions or in violating the provisions of resolutions 1718 and 1874.

In 2009 and 2012, the Committee designated a total of 5 individuals and 11 entities (S/2009/222, S/2009/364 and S/2012/287). Under resolution 2087 (2013), an additional 4 individuals and 6 entities were designated and again, under resolution 2094 (2013), 3 new individuals and 2 new entities were added. On 28 July 2014, the Committee



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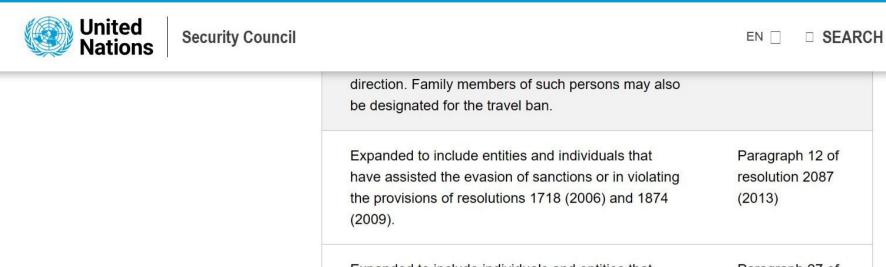
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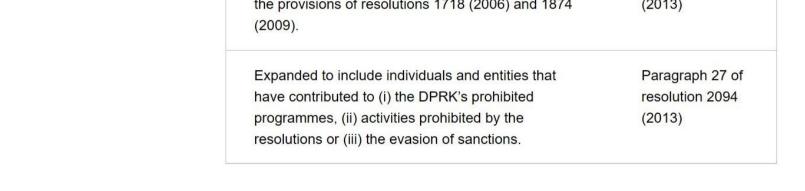
entities were further designated. Under resolution 2356 (2017), an additional 14 individuals and 4 entities were designated, followed by 9 individuals and 4 entities under 2371 (2017) and one individual and three entities under resolution 2375 (2017). Most recently, on 30 March 2018, an additional 1 individual and 21 entities were designated under resolution 2397 (2017). Currently, there are a total of 80 individuals and 75 entities on the 1718 Sanctions List.

12 entities were designated, and with resolution 2321 (2016), 10 new individuals and 11

Summary of listing criteria

	Relevant
Criteria	Resolution
Persons or entities engaging in or providing support	Paragraph 8 (d)
or, including through other illicit means, the	and (e) of
Democratic People's Republic of Korea's nuclear-	resolution 1718
related, other weapons of mass destruction-related	(2006)
and ballistic missile related programmes, or by	
persons or entities acting on their behalf or at their	
direction. Family members of such persons may also	





ABOUT

DECISIONS & OUTCOMES MEETINGS & DOCUMENTS

- PROGRAMME OF WORK **Provisional Rules of** Current Month
 - **Exchange of Letters**

- Reports of the Secretary-